

## CLAIMS

- 1           1.     A method for broadcast encryption, comprising:  
2                 assigning each user in a group of users respective private information  $I_u$ ;  
3                 selecting at least one session encryption key  $K$ ;  
4                 partitioning users not in a revoked set  $R$  into disjoint subsets  $S_{i1}, \dots, S_{im}$  having  
5                 associated subset keys  $L_{i1}, \dots, L_{im}$ ; and  
6                 encrypting the session key  $K$  with the subset keys  $L_{i1}, \dots, L_{im}$  to render  $m$  encrypted  
7                 versions of the session key  $K$ .
- 1           2.     The method of Claim 1, further comprising partitioning the users into groups  $S_1, \dots, S_w$ ,  
2     wherein " $w$ " is an integer, and the groups establish subtrees in a tree.
- 1           3.     The method of Claim 2, wherein the tree is a complete binary tree.
- 1           4.     The method of Claim 1, further comprising using private information  $I_u$  to decrypt the  
2     session key.
- 1           5.     The method of Claim 4, wherein the act of decrypting includes using information  $i_j$   
2     such that a user belongs to a subset  $S_{ij}$ , and retrieving a subset key  $L_{ij}$  using the private information  
3     of the user.

1           6.     The method of Claim 2, wherein each subset  $S_{i1}, \dots, S_{im}$  includes all leaves in a subtree  
2 rooted at some node  $v_i$ , at least each node in the subtree being associated with a respective subset  
3 key.

1           7.     The method of Claim 6, wherein content is provided to users in at least one message  
2 defining a header, and the header includes at most  $r \cdot \log(N/r)$  subset keys and encryptions, wherein  
3  $r$  is the number of users in the revoked set  $R$  and  $N$  is the total number of users.

1           8.     The method of Claim 6, wherein each user must store  $\log N$  keys, wherein  $N$  is the  
2 total number of users.

1           9.     The method of Claim 6, wherein content is provided to users in at least one message,  
2 and wherein each user processes the message using at most  $\log \log N$  operations plus a single  
3 decryption operation, wherein  $N$  is the total number of users.

1           10.    The method of Claim 6, wherein the revoked set  $R$  defines a spanning tree, and  
2 subtrees having roots attached to nodes of the spanning tree define the subsets.

1           11.    The method of Claim 2, wherein the tree includes a root and plural nodes, each node  
2 having at least one associated label, and wherein each subset includes all leaves in a subtree rooted  
3 at some node  $v_i$  that are not in the subtree rooted at some other node  $v_j$  that descends from  $v_i$ .

1           12.    The method of Claim 11, wherein content is provided to users in at least one message  
2    defining a header, and the header includes at most  $2r-1$  subset keys and encryptions, wherein  $r$  is the  
3    number of users in the revoked set  $R$ .

1           13.    The method of Claim 11, wherein each user must store  $.5\log^2 N + .5\log N + 1$  keys,  
2    wherein  $N$  is the total number of users.

1           14.    The method of Claim 11, wherein content is provided to users in at least one message,  
2    and wherein each user processes the message using at most  $\log N$  operations plus a single decryption  
3    operation, wherein  $N$  is the total number of users.

1           15.    The method of Claim 11, wherein the revoked set  $R$  defines a spanning tree, and  
2    wherein the method includes:

3                   initializing a cover tree  $T$  as the spanning tree;

4                   iteratively removing nodes from the cover tree  $T$  and adding nodes to a cover until  
5    the cover tree  $T$  has at most one node.

1           16.    The method of Claim 11, wherein each node has at least one label possibly induced  
2    by at least one of its ancestors, and wherein each user is assigned labels from all nodes hanging from  
3    a direct path between the user and the root but not from nodes in the direct path.

1           17.    The method of Claim 16, wherein labels are assigned to subsets using a pseudorandom  
2 sequence generator, and the act of decrypting includes evaluating the pseudorandom sequence  
3 generator.

1           18.    The method of Claim 1, wherein content is provided to users in at least one message  
2 having a header including a cryptographic function  $E_L$ , and the method includes prefix-truncating the  
3 cryptographic function  $E_L$ .

1           19.    The method of Claim 2, wherein the tree includes a root and plural nodes, each node  
2 having an associated key, and wherein each user is assigned keys from all nodes in a direct path  
3 between a leaf representing the user and the root.

1           20.    The method of Claim 1, wherein content is provided to users in at least one message  
2 defining plural portions, and each portion is encrypted with a respective session key.

1           21.    A computer program device, comprising:  
2                   a computer program storage device including a program of instructions usable by a  
3 computer, comprising:  
4                   logic means for accessing a tree to identify plural subset keys;  
5                   logic means for encrypting a message with a session key;  
6                   logic means for encrypting the session key at least once with each of the subset keys  
7           to render encrypted versions of the session key; and

8 logic means for sending the encrypted versions of the session key in a header of the  
9 message to plural stateless receivers.

1 22. The computer program device of Claim 21, further comprising:

2 logic means for partitioning receivers not in a revoked set R into disjoint subsets  
3  $S_{i1}, \dots, S_{im}$  having associated subset keys  $L_{i1}, \dots, L_{im}$ .

1 23. The computer program device of Claim 22, further comprising logic means for  
2 partitioning the users into groups  $S_1, \dots, S_w$ , wherein "w" is an integer, and the groups establish  
3 subtrees in a tree.

1 24. The computer program device of Claim 21, further comprising logic means for using  
2 private information  $I_u$  to decrypt the session key.

1 25. The computer program device of Claim 24, wherein the means for decrypting includes  
2 logic means for using information  $i_j$  such that a receiver belongs to a subset  $S_{ij}$ , and retrieving a key  
3  $L_{ij}$  from the private information of the receiver.

1 26. The computer program device of Claim 23, wherein each subset  $S_{i1}, \dots, S_{im}$  includes all  
2 leaves in a subtree rooted at some node  $v_i$ , at least each node in the subtree being associated with a  
3 respective subset key.

1           27.    The computer program device of Claim 26, wherein logic means provide content to  
2 receivers in at least one message defining a header, and the header includes at most  $r \cdot \log(N/r)$  subset  
3 keys and encryptions, wherein  $r$  is the number of receivers in the revoked set  $R$  and  $N$  is the total  
4 number of receivers.

1           28.    The computer program device of Claim 26, wherein each receiver must store  $\log N$   
2 keys, wherein  $N$  is the total number of receivers.

1           29.    The computer program device of Claim 26, wherein logic means provide content to  
2 receivers in at least one message, and wherein each receiver processes the message using at most  $\log$   
3  $\log N$  operations plus a single decryption operation, wherein  $N$  is the total number of receivers.

1           30.    The computer program device of Claim 26, wherein the revoked set  $R$  defines a  
2 spanning tree, and subtrees having roots attached to nodes of the spanning tree define the subsets.

1           31.    The computer program device of Claim 23, wherein the tree includes a root and plural  
2 nodes, each node having at least one associated label, and wherein each subset includes all leaves in  
3 a subtree rooted at some node  $v_i$  that are not in the subtree rooted at some other node  $v_j$  that descends  
4 from  $v_i$ .

1           32.    The computer program device of Claim 31, wherein logic means provide content to  
2 receivers in at least one message defining a header, and the header includes at most  $2r-1$  subset keys  
3 and encryptions, wherein  $r$  is the number of receivers in the revoked set  $R$ .

1           33.    The computer program device of Claim 31, wherein each receiver must store  $.5\log^2$   
2  $N + .5\log N + 1$  keys, wherein  $N$  is the total number of receivers.

1           34.    The computer program device of Claim 31, wherein logic means provide content to  
2 receivers in at least one message, and wherein each receiver processes the message using at most  $\log$   
3  $N$  operations plus a single decryption operation, wherein  $N$  is the total number of receivers.

1           35.    The computer program device of Claim 31, wherein the revoked set  $R$  defines a  
2 spanning tree, and wherein the computer program device includes:

3                   logic means for initializing a cover tree  $T$  as the spanning tree; and

4                   logic means for iteratively removing nodes from the cover tree  $T$  and adding nodes  
5 to a cover until the cover tree  $T$  has at most one node.

1           36.    The computer program device of Claim 35, wherein logic means assign labels to  
2 receivers using a pseudorandom sequence generator, and the labels induce subset keys.

1           37.    The computer program device of Claim 36, wherein the means for decrypting includes  
2 evaluating the pseudorandom sequence generator.

1           38.    The computer program device of Claim 21, wherein logic means provide content to  
2 receivers in at least one message having a header including a cryptographic function  $E_L$ , and the  
3 computer program device includes logic means for prefix-truncating the cryptographic function  $E_L$ .

1           39.    The computer program device of Claim 23, wherein the tree includes a root and plural  
2 nodes, each node having an associated key, and wherein logic means assign each receiver keys from  
3 all nodes in a direct path between a leaf representing the receiver and the root.

1           40.    The computer program device of Claim 21, wherein logic means provide content to  
2 receivers in at least one message defining plural portions, and each portion is encrypted with a  
3 respective session key.

1           41.    A computer programmed with instructions to cause the computer to execute method  
2 acts including:  
3                encrypting broadcast content; and  
4                sending the broadcast content to plural stateless good receivers and to at least one  
5 revoked receiver such that each stateless good receiver can decrypt the content and the  
6 revoked receiver cannot decrypt the content.

1           42.    The computer of Claim 41, wherein the method acts further comprise:  
2                assigning each receiver in a group of receivers respective private information  $I_u$ ;



3 selecting at least one session encryption key  $K$ ;  
4 partitioning all receivers not in a revoked set  $R$  into disjoint subsets  $S_{i1}, \dots, S_{im}$  having  
5 associated subset keys  $L_{i1}, \dots, L_{im}$ ; and  
6 encrypting the session key  $K$  with the subset keys  $L_{i1}, \dots, L_{im}$  to render  $m$  encrypted  
7 versions of the session key  $K$ .

1 43. The computer of Claim 41, wherein the method acts undertaken by the computer  
2 further comprise partitioning the users into groups  $S_1, \dots, S_w$ , wherein " $w$ " is an integer, and the groups  
3 establish subtrees in a tree.

1 44. The computer of Claim 43, wherein the tree is a complete binary tree.

1 44. The computer of Claim 41, wherein the method acts include using private information  
2  $I_u$  to decrypt the session key.

1 45. The computer of Claim 44, wherein the act of decrypting undertaken by the computer  
2 includes using information  $i_j$  such that a receiver belongs to a subset  $S_{ij}$ , and retrieving a key  $L_{ij}$  using  
3 the private information of the receiver.

1 46. The computer of Claim 43, wherein each subset  $S_{i1}, \dots, S_{im}$  includes all leaves in a  
2 subtree rooted at some node  $v_i$ , at least each node in the subtree being associated with a respective  
3 subset key.

1           47.    The computer of Claim 46, wherein content is provided to receivers in at least one  
2 message defining a header, and the header includes at most  $r \cdot \log(N/r)$  subset keys and encryptions,  
3 wherein  $r$  is the number of receivers in the revoked set  $R$  and  $N$  is the total number of receivers.

1           48.    The computer of Claim 46, wherein each receiver must store  $\log N$  keys, wherein  $N$   
2 is the total number of receivers.

1           49.    The computer of Claim 46, wherein content is provided to receivers in at least one  
2 message, and wherein each receiver processes the message using at most  $\log \log N$  operations plus  
3 a single decryption operation, wherein  $N$  is the total number of receivers.

1           50.    The computer of Claim 46, wherein the revoked set  $R$  defines a spanning tree, and  
2 subtrees having roots attached to nodes of the spanning tree define the subsets.

1           51.    The computer of Claim 43, wherein the tree includes a root and plural nodes, each  
2 node having at least one associated label, and wherein each subset includes all leaves in a subtree  
3 rooted at some node  $v_i$  that are not in the subtree rooted at some other node  $v_j$  that descends from  
4.  $v_i$ .

1           52.    The computer of Claim 51, wherein content is provided to receivers in at least one  
2 message defining a header, and the header includes at most  $2r-1$  subset keys and encryptions, wherein  
3  $r$  is the number of receivers in the revoked set  $R$ .

1           53.    The computer of Claim 51, wherein each receiver must store  $.5\log^2 N + .5\log N + 1$   
2 keys, wherein  $N$  is the total number of receivers.

1           54.    The computer of Claim 51, wherein content is provided to receivers in at least one  
2 message, and wherein each receiver processes the message using at most  $\log N$  operations plus a  
3 single decryption operation, wherein  $N$  is the total number of receivers.

1           55.    The computer of Claim 51, wherein the revoked set  $R$  defines a spanning tree, and  
2 wherein the method acts undertaken by the computer further include:

3                   initializing a cover tree  $T$  as the spanning tree;

4                   iteratively removing nodes from the cover tree  $T$  and adding nodes to a cover until  
5 the cover tree  $T$  has at most one node.

1           56.    The computer of Claim 55, wherein the computer assigns node labels to receivers from  
2 the tree using a pseudorandom sequence generator.

1           57.    The computer of Claim 56, wherein the act of decrypting undertaken by the computer  
2 includes evaluating the pseudorandom sequence generator.

1           58.    The computer of Claim 41, wherein content is provided to receivers in at least one  
2 message having a header including a cryptographic function  $E_L$ , and the method acts undertaken by  
3 the computer include prefix-truncating the cryptographic function  $E_L$ .

1           59.    The computer of Claim 41, wherein content is provided to receivers in at least one  
2 message defining plural portions, and each portion is encrypted by the computer with a respective  
3 session key.

1           60.    The method of Claim 11, wherein each node has plural labels with each ancestor of  
2 the node inducing a respective label, and wherein each user is assigned labels from all nodes hanging  
3 from a direct path between the user and the root but not from nodes in the direct path.

1           61.    A method for broadcast encryption, comprising:  
2                    assigning each user in a group of users respective private information  $I_u$ ;  
3                    selecting at least one session encryption key  $K$ ;  
4                    partitioning all users into groups  $S_1, \dots, S_w$ , wherein "w" is an integer, and the groups  
5                    establish subtrees in a tree;  
6                    partitioning users not in a revoked set  $R$  into disjoint subsets  $S_{i1}, \dots, S_{im}$  having  
7                    associated subset keys  $L_{i1}, \dots, L_{im}$ ; and  
8                    encrypting the session key  $K$  with the subset keys  $L_{i1}, \dots, L_{im}$  to render  $m$  encrypted  
9                    versions of the session key  $K$ , wherein the tree includes a root and plural nodes, each node

10 having at least one associated label, and wherein each subset includes all leaves in a subtree  
11 rooted at some node  $v_i$  that are not in the subtree rooted at some other node  $v_j$  that descends  
12 from  $v_i$ .

1 62. A potentially stateless receiver in a multicast system, comprising:

2 at least one data storage device storing plural labels of nodes that are not in a direct  
3 path between the receiver and a root of a tree having a leaf representing the receiver, but that  
4 hang off the direct path and that are induced by some node  $v_i$ , an ancestor of the leaf  
5 representing the receiver, the labels establishing private information  $I_u$  of the receiver usable  
6 by the receiver to decrypt subset keys derived from the labels.

1 63. The receiver of Claim 62, wherein the receiver computes the subset keys of all sets  
2 except a direct path set that are rooted at the node  $v_i$  by evaluating a pseudorandom function, but can  
3 compute no other subset keys.

1 64. The receiver of Claim 62, wherein the receiver decrypts a session key using at least  
2 one subset key, the session key being useful for decrypting content.

1 65. A receiver of content, comprising:

2 means for storing respective private information  $I_u$ ;

3 means for receiving at least one session encryption key  $K$  encrypted with plural subset  
4 keys, the session key encrypting content; and

5 means for obtaining at least one subset key using the private information such that the  
6 session key K can be decrypted to play the content.

1 66. The receiver of Claim 65, wherein the receiver is partitioned into one of a set of  
2 groups  $S_1, \dots, S_w$ , wherein "w" is an integer, and the groups establish subtrees in a tree defining nodes  
3 and leaves.

1 67. The receiver of Claim 66, wherein subsets  $S_{i_1}, \dots, S_{i_m}$  derived from the set of groups  
2  $S_1, \dots, S_w$  define a cover.

1 68. The receiver of Claim 67, wherein the receiver receives content in at least one message  
2 defining a header, and the header includes at most  $r \cdot \log(N/r)$  subset keys and encryptions, wherein  
3 r is the number of receivers in a revoked set R and N is the total number of receivers.

1 69. The receiver of Claim 67, wherein the receiver must store  $\log N$  keys, wherein N is  
2 the total number of receivers.

1 70. The receiver of Claim 67, wherein the receiver receives content in at least one message  
2 defining a header, and wherein the receiver processes the message using at most  $\log \log N$  operations  
3 plus a single decryption operation, wherein N is the total number of receivers.

1           71.    The receiver of Claim 67, wherein a revoked set R defines a spanning tree, and  
2 subtrees having roots attached to nodes of the spanning tree define the subsets.

1           72.    The receiver of Claim 67, wherein the tree includes a root and plural nodes, each node  
2 having at least one associated label, and wherein each subset includes all leaves in a subtree rooted  
3 at some node  $v_i$  that are not in the subtree rooted at some other node  $v_j$  that descends from  $v_i$ .

1           73.    The receiver of Claim 72, wherein the receiver receives content in a message having  
2 a header including at most  $2r-1$  subset keys and encryptions, wherein  $r$  is the number of receivers  
3 in the revoked set R.

1           74.    The receiver of Claim 72, wherein the receiver must store  $.5\log^2 N + .5\log N + 1$  keys,  
2 wherein  $N$  is the total number of receivers.

1           75.    The receiver of Claim 72, wherein content is provided to the receiver in at least one  
2 message, and wherein the receiver processes the message using at most  $\log N$  operations plus a single  
3 decryption operation, wherein  $N$  is the total number of receivers.

1           76.    The receiver of Claim 72, wherein the receiver decrypts the subset key by evaluating  
2 a pseudorandom sequence generator.

1           77.    A receiver of content, comprising:

2 a data storage storing respective private information  $I_u$ ;  
3 a processing device receiving at least one session encryption key  $K$  encrypted with  
4 plural subset keys, the session key encrypting content, the processing device obtaining at least  
5 one subset key using the private information such that the session key  $K$  can be decrypted to  
6 play the content.

1 78. The receiver of Claim 77, wherein the receiver is partitioned into one of a set of  
2 groups  $S_1, \dots, S_w$ , wherein "w" is an integer, and the groups establish subtrees in a tree.

1 79. The receiver of Claim 78, wherein subsets  $S_{i1}, \dots, S_{im}$  derived from the set of groups  
2  $S_1, \dots, S_w$  define a cover.

1 80. The receiver of Claim 79, wherein the receiver receives content in at least one message  
2 defining a header, and the header includes at most  $r \cdot \log(N/r)$  subset keys and encryptions, wherein  
3  $r$  is the number of receivers in a revoked set  $R$  and  $N$  is the total number of receivers.

1 81. The receiver of Claim 79, wherein the receiver must store  $\log N$  keys, wherein  $N$  is  
2 the total number of receivers.

1 82. The receiver of Claim 79, wherein the receiver receives content in at least one message  
2 defining a header, and wherein the receiver processes the message using at most  $\log \log N$  operations  
3 plus a single decryption operation, wherein  $N$  is the total number of receivers.



1           83.    The receiver of Claim 79, wherein one revoked set R defines a spanning tree, and  
2 subtrees having roots attached to nodes of the spanning tree define the subsets.

1           84.    The receiver of Claim 79, wherein the tree includes a root and plural nodes, each node  
2 having at least one associated label, and wherein each subset includes all leaves in a subtree rooted  
3 at some node  $v_i$  that are not in the subtree rooted at some other node  $v_j$  that descends from  $v_i$ .

1           85.    The receiver of Claim 84, wherein the receiver receives content in a message having  
2 a header including at most  $2r-1$  subset keys and encryptions, wherein  $r$  is the number of receivers  
3 in the revoked set R.

1           86.    The receiver of Claim 84, wherein the receiver must store  $.5\log^2 N + .5\log N + 1$  keys,  
2 wherein  $N$  is the total number of receivers.

1           87.    The receiver of Claim 84, wherein content is provided to the receiver in at least one  
2 message, and wherein the receiver processes the message using at most  $\log N$  operations plus a single  
3 decryption operation, wherein  $N$  is the total number of receivers.

1           88.    The receiver of Claim 84, wherein the receiver decrypts the subset key by evaluating  
2 a pseudorandom sequence generator.

1 89. A medium holding a message of content of the general form

2  $\langle [i_1, i_2, \dots, i_m, E_{L_{i1}}(K), E_{L_{i2}}(K), \dots, E_{L_{im}}(K)], F_K(M) \rangle$ , wherein  $K$  is a session key,  $F_K$  is  
3 an encryption primitive,  $E_K$  is an encryption primitive,  $L_i$  are subset keys associated  
4 with subsets of receivers in an encryption broadcast system,  $M$  is a message body, and  
5  $i_1, i_2, \dots, i_m$  are tree node subsets defining a cover.

1 90. The medium of Claim 89, wherein the encryption primitive  $F_K$  is implemented by  
2 XORing the message body  $M$  with a stream cipher generated by the session key  $K$ .

1 91. The medium of Claim 89, wherein  $E_L$  is a Prefix-Truncation specification of a block  
2 cipher,  $\otimes$  represents a random string whose length equals the block length of  $E_L$ , and  $K$  is a short  
3 key for  $F_K$ , and the message is of the form

4  $\langle [i_1, i_2, \dots, i_m, U, [\text{Prefix}_{|K|} E_{L_{i1}}(U)] \otimes K, \dots, [\text{Prefix}_{|K|} E_{L_{im}}(U)] \otimes K], F_K(M) \rangle$ .

1 92. The medium of Claim 91, wherein  $\otimes \oplus i_j$  is encrypted and the message is of the form

2  $\langle [i_1, i_2, \dots, i_m, U, [\text{Prefix}_{|L|} E_{L_{i1}}(U \oplus i_1)] \otimes K, \dots, [\text{Prefix}_{|L|} E_{L_{im}}(U \oplus i_m)] \otimes K], F_K(M) \rangle$ .

1 93. The medium of Claim 89, wherein the subset keys are derived from a tree including  
2 a root and plural nodes, each node having at least one associated label, and wherein each subset  
3 includes all leaves in a subtree rooted at some node  $v_i$  that are not in the subtree rooted at some other  
4 node  $v_j$  that descends from  $v_i$ .

1           94.    The medium of Claim 89, wherein the subset keys are derived from a tree including  
2   a root and plural nodes, each node having at least one associated label, and wherein each subset  
3   includes all leaves in a subtree rooted at some node  $v_i$ , at least each node in the subtree being  
4   associated with a respective subset key.

1           95.    The computer of Claim 42, wherein the act of partitioning is undertaken by a system  
2   computer in a system of receivers separate from the system computer.

1           96.    The computer of Claim 42, wherein the act of partitioning is undertaken by a receiver  
2   computer.

1           97.    The receiver of Claim 67, wherein the receiver derives the subsets in the cover.